

12/05/01



1130 U.S. PTO

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27162

PATENT TRADEMARK OFFICE

A

Attorney Docket No. 525400-208

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
Patent Examining Operations**



11011 U.S. PTO
10/005238
12/05/01

BOX PATENT APPLICATION
U.S. Patent and Trademark Office
P.O. Box 2327
Arlington VA 22202

Application Transmittal Letter

Dear Sir:

Transmitted herewith for filing is the patent application of: Lawrence A. Shimp, John M. Winterbottom, David R. Kaes, Todd M. Boyce, and Erik O. Martz entitled:

**SPINAL INTERVERTEBRAL IMPLANT, INTERCONNECTIONS FOR SUCH IMPLANT
AND PROCESS FOR MAKING**

Enclosed with the application are:

1. Specification (85 sheets);
2. Claims (32 sheets);
3. Abstract (1 sheet);
4. Drawings (informal; 21 sheets);
5. Executed Declaration and Power of Attorney of Lawrence A. Shimp, John M. Winterbottom, David R. Kaes, Todd M. Boyce, and Erik O. Martz;
6. Assignment from Lawrence A. Shimp, John M. Winterbottom, David R. Kaes, Todd M. Boyce, and Erik O. Martz to Osteotech, Inc.;
7. Recordation Form Cover Sheet;
8. Information Disclosure Statement and Form PTO-1449 with cited references;
9. Preliminary Amendment;
10. Applicants are a small entity;
11. Our check (No.) in the amount of \$2,396.00 (\$2,356.00 filing fee and \$40.00 Assignment recordation fee); and
12. Self-addressed, postage paid, return receipt postcard.

10005238 12/05/01

The fee has been calculated as follows:

	Number of Claims Filed	Extra Claims	Rate	Fee
Basic Fee			\$370.00	\$370.00
Total Claims	138 - 20	118	x \$9.00 =	1,062.00
Independent Claims	25 - 3	22	x \$42.00 =	924.00
Assignment Fee			\$40.00	40.00
TOTAL				\$2,396.00

The Commissioner is authorized to charge payment of any additional filing fees required under 37 CFR 1.16 associated with this communication or credit any overpayment to Deposit Account No. 03-0678. A duplicate copy of this paper is enclosed.

EXPRESS MAIL CERTIFICATE

Express Mail Label No. EL680646830US
Deposit Date: December 5, 2001

I hereby certify that this paper and the attachments hereto are being deposited today with the U.S. Postal Service "Express Mail Post Office To Addressee" service under 37 CFR 1.10 on the date indicated above addressed to:

Box Patent Application
U.S. Patent and Trademark Office
P.O. Box 2327
Arlington VA 22202


William Squire

Dec. 5, 2001
Date

Respectfully submitted,
Lawrence A. Shimp et al.


William Squire, Esq.
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#123732 v1 -

Please date stamp and return to addressee on reverse side

Applicant(s): LAWRENCE A. SHIMP ET AL

Serial No.: NOT ASSIGNED Filed: HEREWITH

Title (or mark): SPINAL INTERVERTEBRAL IMPLANT, INTERCONNECTIONS
FOR SUCH IMPLANT AND PROCESS FOR MAKING

DOCUMENTS ENCLOSED

☒ Application sheets: Descr.: 85 SHEETS
Drawing sheets: 21 SHEETS

Claims 32 SHEETS Abstract 1 SHEET
☐ Formal ☒ Informal

☒ Declaration (executed DEC. 3, 2001)

☒ Assignment to OSTEOTECH, INC.

☒ ~~Verified~~ Statement Claiming Small Entity Status

☐ Type of OA: _____

☒ Inform. Disc. Statement

☐ Response to OA dated _____

☐ _____ Mo. Ext. of Time

☒ FORM 1449 WITH CITED REFERENCES

☒ Other PRELIMINARY AMENDMENT

☒ Check Amount \$ 2,396.00 No. _____

☐ Formal Drawing _____ sheets

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Certificate Dated: _____

Deposited on: DEC. 5, 2001

Atty.: WS

Docket No.: 525400-208